

DOCKET NO.: M1103.70168US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tin QIAN and Alexandru GAVRILESCU

Serial No.: 10/740,748

Filed: December 19, 2003

For: OBJECT MODEL FOR MANAGING FIREWALL SERVICES

Examiner: Unassigned

Art Unit: 2131 Confirmation No.: 4932

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

REMARKS

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

Serial No.: 10/740,748 -2- Art Unit: 2131

Conf. No.: 4932

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 22, 2005.

Attorney Docket No.: M1103.70168US00

Respectfully submitted,

Tin Qian et al., Applicant

James H. Morris

Reg. No. 34,681

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210

Tel. (617) 646-8000

OIPE. COS		
18. 29	APPLICATION NO.: 10/740,748	ATTY. DOCKET NO.: M1103.70168US00
PARM PTO-14494 and B (Modified) INFORMATION DISCLOSURE	FILING DATE: December 19, 2003 CONFIRMATION NO.: 4932	
STATEMENT BY APPLICANT	APPLICANT: Tin QIAN and Alexandru GAVRILESCU	
Sheet 1 of 1	GROUP ART UNIT: 2131	EXAMINER:

U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Docu	ument	Name of Patentee or Applicant of Cited Document	Date of Publication or of issue	
Initials	No.	Number	Kind Code		of Cited Document MM-DD-YYYY	
	6,484,261 B1		B1	Wiegel	11/19/2002	
				•		

FOREIGN PATENT DOCUMENTS

Examiner's Cite	Cite	Fore	oreign Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Initials	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
		EP	1 143 664	A2	Iyer et al.	10/10/2001	
		EP	1 024 627	A2	Bartel et al.	08/02/2000	
i							

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Transla (Y/I	
		Examination Report from corresponding New Zealand application 536690		

EXAMINER:	DATE CONSIDERED:

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

^{*}a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).